



Compliance Corner

System Policies, Regulations, Rules, and Procedures

Who creates them? Who uses them?

Traci Morris, Compliance Coordinator

The System Policy Office under the direction of the Board of Regents creates policies, and is expected to comply with federal and state laws, rules and regulations at all times. The Chancellor establishes system regulations, and each regulation is reviewed every five years unless the Chancellor specifies otherwise.

Rules are considered supplements to the System Policy. Rules should not duplicate the System Policy but provide operational details. Any rule that conflicts with System Policy is considered null and void.

System policies, regulations, rules, and procedures apply to administrators, faculty, staff, and students.

Standard Administrative Procedures or SAPs are consistent with the policy and regulation requirements. The President has the responsibility for rules and SAPs for TAMU, TAMUG, and Qatar. The Provost, Executive Vice President for Academic Affairs, and Vice Presidents has been delegated responsibilities for rules and SAPs by the President as appropriate.

It is strongly encouraged for faculty SAPs to consult with Dean of Faculties for guidance and interpretation. For TAMUG that would be our Vice President for Academic Affairs and Chief Academic Officer.

SAPs should be reviewed at least every five years and beyond five years will require an extension approval from University Risk and Compliance. New or revised rules may originate with the responsible office or committee. The Rule Team facilitates routing and communicates comments regarding the SAP process, including a review, new, or revised rule. New or revised rules will be reviewed by Office of General Counsel and Chancellor for legal content and consistency with system policies and regulations. The president has final approval to new rules; University Risk and Compliance will distribute new or revised rule.

Minor revisions to grammar, hyperlink edits, or position titles can be approved by the responsible office. University Risk and Compliance will distribute revised SAP.

System Policy Office determines the formatting of policies, regulations, rules, and procedures.

For a more in depth account of why each exists go to the respective link and learn more about it.

01.01 System Policies and Regulations, and Member Rules and Procedures

<http://www2.tamus.edu/offices/policy/policies>

01.0201.01.01.M0.01 Development and Approval of Texas A&M University Rules & SAPs

<http://rules.tamu.edu/TAMURulesAndSAPs.aspx>

01.0301.01.01 Format for System Policies and Regulations, and Member Rules

<http://policies.tamus.edu/01-01-01.pdf>